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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 4213**  
Toshihisa NAKANO et al. : **Docket No. 2001\_1837A**  
Serial No. 10/014,912 : **Group Art Unit 2615**  
Filed December 14, 2001 :

KEY MANAGEMENT  
DEVICE/METHOD/PROGRAM,  
RECORDING MEDIUM, REPRODUCING  
DEVICE/METHOD, RECORDING DEVICE,  
AND COMPUTER-READABLE, SECOND  
RECORDING MEDIUM STORING THE KEY  
MANAGEMENT PROGRAM FOR  
COPYRIGHT PROTECTION

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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☐ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Toshihisa NAKANO et al.

By 

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October 27, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: October 27, 2003

OCT 27 2003

PATENT DOCKET NO.  
2001\_1837ASERIAL NO.  
10/014,912APPLICANT  
Toshihisa NAKANO et al.FILING DATE  
December 14, 2001GROUP  
2615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,915,018	6/1999	Aucsmith			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	195 11 298	10/1996	DE				
	AK							
	AL							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	PATENT ABSTRACTS OF JAPAN, Vol. 1999, No. 12, October 29, 1999, entitled " <u>CRYPTOGRAPHIC KEY DISTRIBUTION SYSTEM</u> ".
AN	CHANG, I, et al., entitled " <u>KEY MANAGEMENT FOR SECURE INTERNET MULTICAST USING BOOLEAN FUNCTION MINIMIZATION TECHNIQUES</u> ", INFOCOM '99, Eighteenth Annual Joint Communications Conference of the IEEE Computer and Communications Societies. Proceedings. IEEE New York, NY, USA 21-25 March 1999, Piscataway, NJ, USA, 21 March 1999, pages 689-698.
AO	RODETH, O., et al. entitled " <u>OPTIMIZED GROUP REKEY FOR GROUP COMMUNICATION SYSTEMS</u> ", Optimized Group Rekey for Group Communication Systems. Technical Report of the Hebrew University, 19 July 1999, pages 1-20.

EXAMINER

DATE CONSIDERED